

Attain Partners' Approach to Business Process Assessments

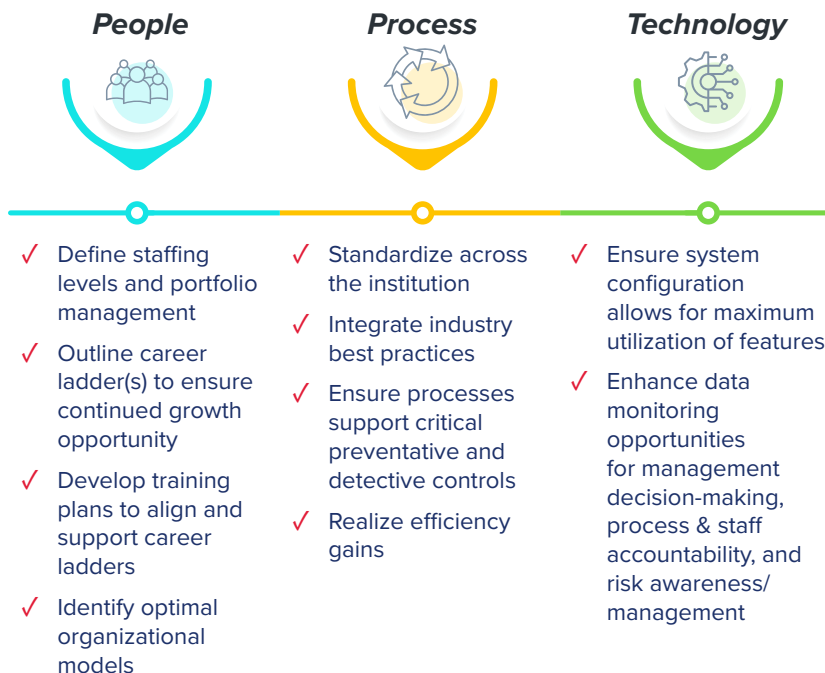
Discovery

Relevant, Actionable Research

- ▶ Document Review
 - Policies, procedures, internal controls, training materials
 - Reports and dashboards
 - Organization charts, job descriptions, roles and responsibilities
 - Mission and vision statements, strategic plan
- ▶ Business Process Walk-Throughs
 - Intersection of business process and technology
 - Actual practice vs perceived
- ▶ Faculty Survey
 - Broadens reach into the opinions and mindset of the Principal Investigators
 - Understand areas of strength and pain points
- ▶ Interviews
 - Allow stakeholders to provide input on improvement
 - Understanding culture of accountability, willingness for change, and risk aversion

Reporting

Meaningful, Obtainable Recommendations



Areas of Focus

Pre-Award Business Processes

- ▶ Budget Development
- ▶ Regulatory Compliance
- ▶ Proposal Routing
- ▶ Subrecipient Award Negotiation
- ▶ Proposal Review and Submission
- ▶ Limited Submissions
- ▶ Award Acceptance
- ▶ Contract – Development
- ▶ Contract – Review and Negotiation
- ▶ Post Award Non-Financial Activities
 - Just In Time Submission
 - Change in Principal Investigator
 - Rebudgeting
 - Relinquishment
 - Progress Reporting
 - Final Reporting

Post-Award Business Processes

- ▶ Award Setup
- ▶ Cost-Share Setup
- ▶ Cost-Share – Monitoring / Reporting
- ▶ Expenditure Approval
- ▶ Invoicing
 - Cost Reimbursable
 - Fixed Price
 - Milestone
- ▶ Cash
 - Application
 - Reconciliation with Federal Payment Systems
- ▶ Financial Reporting
- ▶ Financial Closeout
- ▶ Effort Reporting
- ▶ Subrecipient Monitoring
- ▶ Federal Funding Accountability and Transparency Act (FFATA) Reporting

Regulatory Compliance

- ▶ Conflict of Interest
- ▶ Export Control
- ▶ Research Security
- ▶ Human Subjects
- ▶ Animal Subjects
- ▶ Biosafety

Not just powering the future—empowering it.

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